



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hua et al.

: Group Art Unit: 1646

Serial No. 09/712,612

: Examiner: Not assigned

Filed: November 13, 2000

For: METHODS OF TREATING  
CATARACTS AND  
DIABETIC RETINOPATHY  
WITH TRICYCLIC PYRONES

Certificate of Mailing

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INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner of Patents  
Washington, D.C. 20231

Sir:

The Examiner is respectfully requested to consider the references which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO-1449.

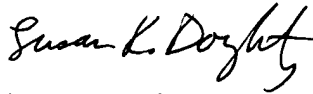
References listed in the PTO-1449 submitted herewith which do not specify the month of publication have a year of publication sufficiently earlier than the effective US filing date and any foreign priority date so that the particular month of publication is not in issue.

References known to the applicants have been listed on PTO-1449. That information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement.

However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO-1449 is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

It is believed this submission does not require the payment of a fee as it is being submitted prior to the issuance of an Office Action on the merits of the application. If this is incorrect, please deduct the appropriate fee from deposit account no. 07-1969.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Susan K. Doughty".

Susan Doughty  
Reg. No. 43,595

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gal: June 5, 2001  
Attorney Docket No. 90-99